An algorithm can be regarded as consisting of a logic component, which specifies the knowledge to be used in solving problems, and a control component, which determines the problem-solving strategies by means of which that knowledge is used. The logic component determines the meaning of the algorithm whereas the control component only affects its efficiency. The efficiency of an algorithm can often be improved by improving the control component without changing the logic of the algorithm. We argue that computer programs would be more often correct and more easily improved and modified if their logic and control aspects were identified and separated in the program text.

Key Words and Phrases: control language, logic programming, nonprocedural language, programming methodology, program specification, relational data structures

CR Categories: 3.64, 4.20, 4.30, 5.21, 5.24

Introduction

Predicate logic is a high level, human-oriented language for describing problems and problem-solving methods to computers. In this paper, we are concerned not with the use of predicate logic as a programming language in its own right, but with its use as a tool for the analysis of algorithms. Our main aim will be to study ways in which logical analysis can contribute to improving the structure and efficiency of algorithms.
The notion that computation = controlled deduction was first proposed by Pay Hayes [19] and more recently by Bibel [2] and Vaughn-Pratt [31]. A similar thesis that database systems should be regarded as consisting of a relational component, which defines the logic of the data, and a control component, which stores and retrieves it, has been successfully argued by Codd [10]. Hewitt's argument [20] for the programming language PLANNER, though generally regarded as an argument against logic, can also be regarded as an argument for the thesis that algorithms be regarded as consisting of both logic and control components. In this paper we shall explore some of the useful consequences of that thesis.

We represent the analysis of an algorithm \( A \) into a logic component \( L \), which defines the logic of the algorithm, and a control component \( C \), which specifies the manner in which the definitions are used, symbolically by the equation

\[ A = L + C. \]

Algorithms for computing factorials are a simple example. The definition of factorial constitutes the logic component of the algorithms:

1 is the factorial of 0;

\( u \) is the factorial of \( x + 1 \) if \( v \) is the factorial of \( x \) and \( u \) is \( v \) times \( x + 1 \).

The definition can be used bottom-up to derive a sequence of assertions about factorial or it can be used top-down to reduce the problem of computing the factorial of \( x + 1 \) to the subproblems of computing the factorial of \( x \) and multiplying the result by \( x + 1 \). Different ways of using the same definition give rise to different algorithms. Bottom-up use of the definition behaves like iteration. Top-down use behaves like recursive evaluation.

The manner in which the logic component is used to solve problems constitutes the control component. As a first approximation, we restrict the control component \( C \) to general-purpose problem-solving strategies which do not affect the meaning of the algorithm as it is determined by the logic component \( L \). Thus different algorithms \( A_1 \) and \( A_2 \), obtained by applying different methods of control \( C_1 \) and \( C_2 \) to the same logic definitions \( L \), are equivalent in the sense that they solve the same problems with the same results. Symbolically, if \( A_1 = L + C_1 \) and \( A_2 = L + C_2 \), then \( A_1 \) and \( A_2 \) are equivalent. The relationship of equivalence between algorithms, because they have the same logic, is the basis for using logical analysis to improve the efficiency of an algorithm by retaining its logic but improving the way it is used. In particular, replacing bottom-up by top-down control often (but not always) improves efficiency, whereas replacing top-down sequential solution of subproblems by top-down parallel solution seems never to decrease efficiency.

Both the logic and the control components of an algorithm affect efficiency. The logic component expresses the knowledge which can be used in solving problems and the control component determines the way in which that knowledge can be used. The distinction between logic and control is not wholly unambiguous. The same algorithm \( A \) can often be analyzed in different ways.

\[ A = L_1 + C_1, \]
\[ A = L_2 + C_2. \]

One analysis might include in the logic component what another analysis includes in the control component. In general, we prefer an analysis which places the greatest burden for achieving efficiency on the control component. Such an analysis has two advantages: (1) the logic component can afford to be a clearer and more obviously correct statement of the problem and the knowledge which can be used in its solution and (2) the control component assumes greater responsibility for the efficiency of the algorithm, which consequently can be more readily improved by upgrading the efficiency of the control.

It is the intention that this paper should be self-contained. The first part, accordingly, introduces the clausal form of predicate logic and defines the top-down and bottom-up interpretations of Horn clauses. The body of the paper investigates the following decomposition of algorithms into their various components.

We study the effect of altering each of the above components of an algorithm. The final section of the paper introduces a graphical notation for expressing, more formally than in the rest of the paper, certain kinds of control information. Much of the material in this paper has been abstracted from lecture notes [23] prepared for the advanced summer school on foundations of computing held at the Mathematical Centre in Amsterdam in May 1974.

**Notation**

We use the clausal form of predicate logic. Simple assertions are expressed by clauses:

- Father (Zeus, Ares) ~-
- Mother (Hera, Ares) ~-
- Father (Ares, Harmonia) ⇐
- Mother (Semele, Dionisius) ⇐
- Father (Zeus, Dionisius) ⇐ etc.
Here Father \((x, y)\) states that \(x\) is the father of \(y\) and Mother \((x, y)\) states that \(x\) is the mother of \(y\).

Clauses can also express general conditional propositions:

- Female \((x)\) \(\iff\) Mother \((x, y)\)
- Male \((x)\) \(\iff\) Father \((x, y)\)
- Parent \((x, y)\) \(\iff\) Mother \((x, y)\)
- Parent \((x, y)\) \(\iff\) Father \((x, y)\).

These state that

- \(x\) is female if \(x\) is mother of \(y\),
- \(x\) is male if \(x\) is father of \(y\),
- \(x\) is parent of \(y\) if \(x\) is mother of \(y\), and
- \(x\) is parent of \(y\) if \(x\) is father of \(y\).

The arrow \(\iff\) is the logical connective "if"; "\(x\)" and "\(y\)" are variables representing any individuals; "Zeus," "Ares," etc. are constant symbols representing particular individuals; "Father," "Mother," "Female," etc. are predicate symbols representing relations among individuals. Variables in different clauses are distinct even if they have the same names.

A clause can have several joint conditions or several alternative conclusions. Thus

- Grandparent \((x, y)\) \(\iff\) Parent \((x, z)\), Parent \((z, y)\)
- Male \((x)\), Female \((x)\) \(\iff\) Parent \((x, y)\)
- Ancestor \((x, y)\) \(\iff\) Parent \((x, y)\)
- Ancestor \((x, y)\) \(\iff\) Ancestor \((x, z)\), Ancestor \((z, y)\)

where \(x\), \(y\), and \(z\) are variables, state that for all \(x\), \(y\), and \(z\)

- \(x\) is grandparent of \(y\) if \(x\) is parent of \(z\) and \(z\) is parent of \(y\);
- \(x\) is male or \(x\) is female if \(x\) is parent of \(y\);
- \(x\) is ancestor of \(y\) if \(x\) is parent of \(y\); and
- \(x\) is ancestor of \(y\) if \(x\) is ancestor of \(z\) and \(z\) is ancestor of \(y\).

Problems to be solved are represented by clauses which are denials. The clauses

- \(\lnot\) Grandparent \((Zeus, Harmonia)\)
- \(\lnot\) Ancestor \((Zeus, x)\)
- \(\lnot\) Male \((x)\), Ancestor \((x, Dionisius)\)

where \(x\) is a variable state that

- Zeus is not grandparent of Harmonia,
- for no \(x\) is Zeus ancestor of \(x\), and
- for no \(x\) is \(x\) male and \(x\) is an ancestor of Dionisius.

A typical problem-solver (or theorem-prover) reacts to a denial by using other clauses to try to refute the denial. If the denial contains variables, then it is possible to extract from the refutation the values of the variables which account for the refutation and represent a solution of the problem to be solved. In this example, different refutations of the second denial find different \(x\) of which Zeus is the ancestor:

- \(x = Ares, x = Harmonia, x = Dionisius\).

More generally, we define clauses and their interpretation as follows. A clause is an expression of the form

\[ B_1, \ldots, B_m \iff A_1, \ldots, A_n \quad \text{if} \quad m, n \geq 0, \]

where \(B_1, \ldots, B_m, A_1, \ldots, A_n\) are atoms. The atoms \(A_1, \ldots, A_n\) are conditions of the clause and the atoms \(B_1, \ldots, B_m\) are alternative conclusions of the clause. If the clause contains the variables \(x_1, \ldots, x_k\) then interpret it as stating that

for all \(x_1, \ldots, x_k\) \(B_1\) or ... or \(B_m\) if \(A_1\) and ... and \(A_n\).

If \(n = 0\), then interpret it as stating unconditionally that

for all \(x_1, \ldots, x_k\) \(B_1\) or ... or \(B_m\).

If \(m = 0\), then interpret it as stating that

for no \(x_1, \ldots, x_k\) \(A_1\) and ... and \(A_n\).

If \(m = n = 0\), then interpret the clause as a sentence which is always false.

An atom (or atomic formula) is an expression of the form

\[ P(t_1, \ldots, t_n) \]

where \(P\) is an \(n\)-place predicate symbol and \(t_1, \ldots, t_n\) are terms. Interpret the atom as asserting that the relation called \(P\) holds among the individuals called \(t_1, \ldots, t_n\).

A term is a variable, a constant symbol, or an expression of the form

\[ f(t_1, \ldots, t_n) \]

where \(f\) is an \(n\)-place function symbol and \(t_1, \ldots, t_n\) are terms.

The sets of predicate symbols, function symbols, constant symbols, and variables are any mutually disjoint sets. (By convention, we reserve the lower case letters \(u, v, w, x, y, z\), with or without adornments, for variables. The type of other kinds of symbols is identified by the position they occupy in clauses.)

Clausal form has the same expressive power as the standard formulation of predicate logic. All variables \(x_1, \ldots, x_k\) which occur in a clause \(C\) are implicitly governed by universal quantifiers \(\forall x_1, \ldots, \forall x_k\) (for all \(x_1\) and ... and for all \(x_k\)). Thus \(C\) is an abbreviation for \(\forall x_1, \ldots, \forall x_k\ C\).

The existential quantifier \(\exists x\) (there exists an \(x\)) is avoided by using constant symbols or function symbols to name individuals. For example, the clauses

- Father \((dad(x), x) \iff Human(x)\)
- Mother \((mum(x), x) \iff Human(x)\)

state that for all humans \(x\), there exists an individual, called \(dad(x)\), who is father of \(x\), and there exists an individual, called \(mum(x)\), who is mother of \(x\).

Although the clausal form has the same power as the standard form, it is not always as natural or as easy to use. The definition of subset is an example: "\(x\) is a subset of \(y\) if for all \(z\), \(z\) belongs to \(y\) if \(z\) belongs to \(x\)." The definition in the standard form of logic

\[ x \subseteq y \iff \forall z \left[ z \in y \iff z \in x \right] \]

is a direct translation of the English. The clausal form of
the definition can be systematically derived from the standard form. It can take considerable effort, however, to recognize the notion of subset in the resulting pair of clauses:

\[
x \subseteq y, \text{arb} (x, y) \in x \leftarrow \\
x \subseteq y \leftarrow \text{arb} (x, y) \in y.
\]

(Here we have used infix notation for predicate symbols, writing \(xPy\) instead of \(P(x, y)\).

In this paper, we shall avoid the awkwardness of the clausal definition of subset by concentrating attention on clauses which contain at the most one conclusion. Such clauses, called Horn clauses, can be further classified into four kinds:

- **assertions** (of the form) \(B \leftarrow \)
- **procedure declarations** (of the form) \(B \leftarrow A_1, \ldots, A_n\)
- **denials** \(\leftarrow A_1, \ldots, A_n\)
- **contradiction** \(\leftarrow\)

Assertions can be regarded as the special case of procedure declarations where \(n = 0\).

The Horn clause subset of logic resembles conventional programming languages more closely than either the full clausal or standard forms of logic. For example, the notion of subset can be defined recursively by means of Horn clauses:

\[
x \subseteq y \leftarrow \text{Empty}(x) \\
x \subseteq y \leftarrow \text{Split}(x, z, x') z \in y, x' \subseteq y.
\]

Here it is intended that the relationship \(\text{Empty}(x)\) holds when \(x\) is empty, and \(\text{Split}(x, z, x')\) holds when \(x\) consists of element \(z\) and subset \(x'\). Horn clauses used in this way, to define relations recursively, are related to Herbrand-Gödel recursion equations as described by Kleene [22], elaborated by McCarthy [28], employed for program transformation by Burstall and Darlington [13], and augmented with control annotations by Schwarz [34].

### Top-Down and Bottom-Up Interpretations of Horn Clauses

A typical Horn clause problem has the form of

1. a set of clauses which defines a problem domain and
2. a theorem which consists of (a) hypotheses represented by assertions \(A_1, \ldots, A_n \leftarrow\) and (b) a conclusion which is negated and represented by a denial \(\leftarrow B_1, \ldots, B_m\).

In top-down problem-solving, we reason backwards from the conclusion, repeatedly reducing goals to subgoals until eventually all subgoals are solved directly by the original assertions. In bottom-up problem-solving, we reason forwards from the hypotheses, repeatedly deriving new assertions from old ones until eventually the original goal is solved directly by derived assertions.

The problem of showing that Zeus is a grandparent of Harmonia can be solved either top-down or bottom-up. Reasoning bottom-up, we start with the assertions

\[
\text{Father}(Zeus, Ares) \leftarrow \\
\text{Father}(Ares, Harmonia) \leftarrow
\]

and use the clause \(\text{Parent}(x, y) \leftarrow \text{Father}(x, y)\) to derive new assertions

\[
\text{Parent}(Zeus, Ares) \leftarrow \\
\text{Parent}(Ares, Harmonia) \leftarrow
\]

Continuing bottom-up we derive, from the definition of grandparent, the new assertion

\[
\text{Grandparent}(Zeus, Harmonia) \leftarrow
\]

which matches the original goal.

Reasoning top-down, we start with the original goal of showing that Zeus is a grandparent of Harmonia

\[
\leftarrow \text{Grandparent}(Zeus, Harmonia)
\]

and use the definition of grandparent to derive two new subgoals

\[
\leftarrow \text{Parent}(Zeus, z), \text{Parent}(z, Harmonia)
\]

by denying that any \(z\) is both a child of Zeus and a parent of Harmonia. Continuing top-down and considering both subgoals (either one at a time or both simultaneously), we use the clause \(\text{Parent}(x, y) \leftarrow \text{Father}(x, y)\) to replace the subproblem \(\text{Parent}(Zeus, z)\) by \(\text{Father}(Zeus, z)\) and the subproblem \(\text{Parent}(z, Harmonia)\) by \(\text{Father}(z, Harmonia)\). The newly derived subproblems are solved compatibly by assertions which determine “Ares” as the desired value of \(z\).

In both the top-down and bottom-up solutions of the grandparent problem, we have mentioned the derivation of only those clauses which directly contribute to the eventual solution. In addition to the derivation of relevant clauses, it is often unavoidable, during the course of searching for a solution, to derive assertions or subgoals which do not contribute to the solution. For example, in the bottom-up search for a solution to the grandparent problem, it is possible to derive the irrelevant assertions

\[
\text{Parent}(Hera, Ares) \leftarrow \\
\text{Male}(Zeus)
\]

In the top-down search it is possible to replace the subproblem \(\text{Parent}(Zeus, z)\) by the irrelevant and unsolvable subproblem \(\text{Mother}(Zeus, z)\).

There are both proof procedures which understand logic top-down (e.g. model elimination [17], SL-resolution [20], and interconnectivity graphs [35]) as well as ones which understand logic bottom-up (notably hyper-resolution [35]). These proof procedures operate with the clausal form of predicate logic and deal with both Horn clauses and non-Horn clauses. Among clausal proof procedures, the connection graph procedure [25] is able to mix top-down and bottom-up reasoning. Among non-clausal proof procedures, Gentzen systems [1] and Bledsoe's related natural deduction systems [5] provide facilities for mixing top-down and bottom-up reasoning.
The terminology “top-down” and “bottom-up” applied to proof procedures derives from our investigation of the parsing problem formulated in predicate logic [23, 25]. Given a grammar formulated in clausal form, top-down reasoning behaves as a top-down parsing algorithm and bottom-up reasoning behaves as a bottom-up algorithm. David Warren (unpublished) has shown how to define a general proof procedure for Horn clauses, which when applied to the parsing problem, behaves like the Earley parsing algorithm [16].

The Procedural Interpretation of Horn Clauses

The procedural interpretation is the top-down interpretation. A clause of the form

\[ B \leftarrow A_1, \ldots, A_n \quad n \geq 0 \]

is interpreted as a procedure. The name of the procedure is the conclusion \( B \) which identifies the form of the problems which the procedure can solve. The body of the procedure is the set of procedure calls \( A_i \). A clause of the form

\[ \leftarrow B_1, \ldots, B_m \quad m \geq 0 \]

consisting entirely of procedure calls (or problems to be solved) behaves as a goal statement. A procedure

\[ B \leftarrow A_1, \ldots, A_n \]

is invoked by a procedure call \( B_i \) in the goal statement:

1. By matching the call \( B_i \) with the name \( B \) of the procedure;
2. By replacing the call \( B_i \) with the body of the procedure obtaining the new goal statement

\[ \leftarrow B_1, \ldots, B_{i-1}, A_1, \ldots, A_n, B_{i+1}, \ldots, B_m \]

and;
3. By applying the matching substitution \( \theta \)

\[ \leftarrow (B_1, \ldots, B_{i-1}, A_1, \ldots, A_n, B_{i+1}, \ldots, B_m) \theta. \]

(The matching substitution \( \theta \) replaces variables by terms in a manner which makes \( B \) and \( B_i \) identical: \( B \theta = B_i \).) The part of the substitution \( \theta \) which affects variables in the original procedure calls \( B_1, \ldots, B_m \) transmits output. The part which affects variables in the new procedure calls \( A_1, \ldots, A_n \) transmits input.

For example, invoking the grandparent procedure by the procedure call in

\[ \leftarrow \text{Grandparent (Zeus, Harmonia)} \]

derives the new goal statement

\[ \leftarrow \text{Parent (Zeus, z), Parent (z, Harmonia)} \]

The matching substitution

\[ x = \text{Zeus} \quad y = \text{Harmonia} \]

transmits input only. Invoking the assertional procedure

\[ \text{Father (Zeus, Ares)} \leftarrow \]

by the first procedure call in the goal statement

\[ \leftarrow \text{Father (Zeus, z), Parent (z, Harmonia)} \]

derives the new goal statement

\[ \leftarrow \text{Parent (Ares, Harmonia)} \]

transmits output only. In general, however, a single procedure invocation may transmit both input and output.

The top-down interpretation of Horn clauses differs in several important respects from procedure invocation in conventional programming languages:

1. The body of a procedure is a set rather than a sequence of procedure calls. This means that procedure calls can be executed in any sequence or in parallel.
2. More than one procedure can have a name which matches a given procedure call. Finding the “right” procedure is a search problem which can be solved by trying the different procedures in sequence, in parallel, or in other more sophisticated ways.
3. The input-output arguments of a procedure are not fixed but depend upon the procedure call. A procedure which tests that a relationship holds among given individuals can also be used to find individuals for which the relationship holds.

The Relationship Between Logic and Control

In the preceding sections we considered alternative top-down and bottom-up control strategies for a fixed predicate logic representation of a problem-domain. Different control strategies for the same logical representation generate different behaviors. However, information about a problem-domain can be represented in logic in different ways. Alternative representations can have a more significant effect on the efficiency of an algorithm than alternative control strategies for the same representation.

Consider the problem of sorting a list. In one representation, we have the definition

\[ \text{sorting } x \text{ gives } y \iff y \text{ is a permutation of } x, y \text{ is ordered.} \]

(Here we have used distributed infix notation for predicate symbols, writing \( P_{1}x_{1}P_{2}x_{2} \ldots P_{n}x_{n}P_{n+1} \) instead of \( P(x_{1}, \ldots, x_{n}) \) where the \( P_i \) (possibly empty) are parts of \( P \).) As described in [24], different control strategies applied to the definition generate different behaviors. None of these behaviors, however, is efficient enough to qualify as a reasonable sorting algorithm. By contrast, even the simplest top-down, sequential control behaves efficiently with the logic of quicksort [17]:
like the predicates “permutation” and “ordered” before, the predicates “empty,” “first,” “rest,” “partitioning,” and “appending” can be defined independently from the definition of “sorting.” (Partitioning x₂ by x₁ is intended to give the list u of the elements of x₂ which are smaller than or equal to x₁ and the list v of the elements of x₂ which are greater than x₁.)

Our thesis is that, in the systematic development of well-structured programs by successive refinement, the logic component needs to be specified before the control component. The logic component defines the problem-domain-specific part of an algorithm. It not only determines the meaning of the algorithm but also influences the way the algorithm behaves. The control component specifies the problem-solving strategy. It affects the behavior of the algorithm without affecting its meaning. Thus the efficiency of an algorithm can be improved by two very different approaches, either by improving the logic component or by leaving the logic component unchanged and improving the control over its use.

Bibel [3, 4], Clark, Darlington, Sickel [7, 8, 9], and Hogger [21] have developed strategies for improving algorithms by ignoring the control component and using deduction to derive a new logic component. Their methods are similar to the ones used for transforming formal grammars and programs expressed as recursion equations [13].

In a logic programming system, specification of the control component is subordinate to specification of the logic component. The control component can either be expressed by the programmer in a separate control-specifying language, or it can be determined by the system itself. When logic is used, as in the relational calculus, for example [11], to specify queries for a database, the control component is determined entirely by the system. In general, the higher the level of the programming language and the less advanced the level of the programmer, the more the system needs to assume responsibility for efficiency and to exercise control over the use of the information which it is given.

The provision of a separate control-specifying language is more likely to appeal to the more advanced programmer. Greater efficiency can often be achieved when the programmer is able to communicate control information to the computer. Such information might be, for example, that in the relation F(x, y) the value of y is a function of the argument x. This could be used by a backtracking interpreter to avoid looking for another solution to the first goal in the goal statement

\[ \leftarrow F(A, y), G(y) \]

when the second goal fails. Another example of such information might be that one procedure

\[ P \leftarrow Q \]

is more likely to solve P than another procedure

\[ P \leftarrow R. \]

This kind of information is common in fault diagnosis where, on the basis of past experience, it might be known that symptom P is more likely to have been caused by Q than R.

Notice, in both of these examples, that the control information is problem-specific. However, if the control information is correct and the interpreter is correctly implemented, then the control information should not affect the meaning of the algorithm as determined by its logic component.

Data Structures

In a well-structured program it is desirable to separate data structures from the procedures which interrogate and manipulate them. Such separation means that the representation of data structures can be altered without altering the higher level procedures. Alteration of data structures is a way of improving algorithms by replacing an inefficient data structure by a more effective one. In a large, complex program, the demands for information made on the data structures are often fully determined only in the final stages of the program design. By separating data structures from procedures, the higher levels of the program can be written before the data structures have been finally decided.

The data structures of a program are already included in the logic component. Lists for example can be represented by terms, where

- \( \text{nil} \) names for the empty list and
- \( \text{cons}(x, y) \) names the list with first element \( x \) and rest which is another list \( y \).

Thus the term

\( \text{cons}(2, \text{cons}(1, \text{cons}(3, \text{nil}))) \)

names the three-element list consisting of the individuals 2, 1, 3 in that order.

The data-structure-free definition of quicksort in the preceding section interacts with the data structure for lists via the definitions

\[ \text{nil} \text{ is empty } \leftarrow \]

first element of \( \text{cons}(x, y) \) is \( x \) \leftarrow \]

rest of \( \text{cons}(x, y) \) is \( y \) \leftarrow \]

If the predicates “empty,” “first,” and “rest” are eliminated from the definition of quicksort by a preliminary bottom-up deduction, then the original data-structure-free definition can be replaced by a definition which mixes the data structures with the procedures.
Clark and Tarnlund [6] show how to obtain a more efficient version of quicksort from the same abstract definition with a different data structure for lists.

Comparing the original data-structure-free definition with the new data-structure-dependent one, we notice another advantage of data-structure-independence: the fact that, with well-chosen names for the interfacing procedures, data-structure-independent programs are virtually self-documenting. For the conventional program which mixes procedures and data structures, the programmer has to provide documentation, external to the program, which explains the data structures. For the well-structured, data-structure-independent program, such documentation is provided by the interfacing procedures and is part of the program.

Despite the arguments for separating data structures and procedures, programmers mix them for the sake of run-time efficiency. One way of reconciling efficiency with good program structure is to use the macroexpansion facilities provided in some programming languages. Macroexpansion flattens the hierarchy of procedure calls before run-time and is the computational analog of the bottom-up and middle-out reasoning provided by some theorem-proving systems. Macro-expansion is also a feature of the program improving transformations developed by Burstall and Darlington.

Notice how our terminology conflicts with Wirth's [39]: program = algorithm + data structure. In our terminology the definition of data structures belongs to the logic component of algorithms. Even more confusingly, we would like to call the logic component of algorithms "logic programs." This is because, given a fixed Horn clause interpreter, the programmer need only specify the logic component. The interpreter can exercise its own control over the way in which the information in the logic component is used. Of course, if the programmer knows how the interpreter behaves, then he can express himself in a manner which is designed to elicit the behavior he desires.

**Top-Down Execution of Procedure Calls**

In the simplest top-down execution strategy, procedure calls are executed one at a time in the sequence in which they are written. Typically an algorithm can be improved by executing the same procedure calls either as coroutines or as communicating parallel processes. The new algorithm

\[ A_2 = L + C_2 \]
saving the initial lists of those elements already compared and found to be identical.

In a high level programming language like SIMULA it is possible to write both the usual sequential algorithms and also coroutining ones in which the programmer controls when coroutines are suspended and resumed. But, as in other conventional programming languages, logic and control are inextricably intertwined in the program text. It is not possible to change the control strategy of an algorithm without rewriting the program entirely.

The arguments for separating logic and control are like the ones for separating procedures and data structures. When procedures are separated from data structures, it is possible to distinguish (in the procedures) what functions the data structures fulfill from the manner in which the data structures fulfill them. When logic is separated from control, it is possible to distinguish (in the logic) what the program does from how the program does it (in the control). In both cases it is more obvious what the program does, and therefore it is easier to determine whether it correctly does what it is intended to do.

The work of Clark and Tarnlund [6] (on the correctness of sorting algorithms) and the unpublished work of Warren and Kowalski (on the correctness of plan-formation algorithms) supports the thesis that correctness proofs are simplified when they deal only with the logic component and ignore the control component of algorithms. Similarly, ignoring control simplifies the derivation of programs from specifications [3, 4, 7, 8, 9, 21].

Top-Down vs. Bottom-Up Execution

Recursive definitions are common in mathematics where they are more likely to be understood bottom-up rather than top-down. Consider, for example, the definition of factorial

\[
\begin{align*}
\text{The factorial of } 0 & \text{ is } 1 \\
\text{The factorial of } x & \text{ is } u \leftarrow y + 1 \text{ is } x, \\
& \quad \text{the factorial of } y \text{ is } v, \\
& \quad x \text{ times } v \text{ is } u.
\end{align*}
\]

The mathematician is likely to understand such a definition bottom-up, generating the sequence of assertions

\[
\begin{align*}
\text{The factorial of } 0 & \text{ is } 1 \\
\text{The factorial of } 1 & \text{ is } 1 \\
\text{The factorial of } 2 & \text{ is } 2 \\
\text{The factorial of } 3 & \text{ is } 6 \\
\text{etc.}
\end{align*}
\]

Conventional programming language implementations understand recursions top-down. Programmers, accordingly, tend to identify recursive definitions with top-down execution.

An interesting exception to the rule that recursive definitions are more efficiently executed top-down than bottom-up is the definition of a Fibonacci number, which is both more intelligible and efficient when interpreted bottom-up:

\[
\begin{align*}
\text{the } 0\text{-th Fibonacci number is } 1 & \leftarrow \\
\text{the } 1\text{-th Fibonacci number is } 1 & \leftarrow \\
\text{the } u + 2\text{-th Fibonacci number is } x & \leftarrow \\
& \quad \text{the } u + 1\text{-th Fibonacci number is } y, \\
& \quad \text{the } u\text{-th Fibonacci number is } z, \\
& \quad y + z \text{ is } x.
\end{align*}
\]

(Here the terms \( u + 2 \) and \( u + 1 \) are expressions to be evaluated rather than terms representing data structures. This notation is an abbreviation for the one which has explicit procedure calls in the body to evaluate \( u + 2 \) and \( u + 1 \).)

Interpreted top-down, finding the \( u + 1\)-th Fibonacci number reintroduces the subproblem of finding the \( u\)-th Fibonacci number. The top-down computation is a tree whose nodes are procedure calls, the number of which is an exponential function of \( u \). Interpreting the same definition bottom-up generates the sequence of assertions

\[
\begin{align*}
\text{the } 0\text{-th Fibonacci number is } 1 & \leftarrow \\
\text{the } 1\text{-th Fibonacci number is } 1 & \leftarrow \\
\text{the } 2\text{-th Fibonacci number is } 2 & \leftarrow \\
\text{the } 3\text{-th Fibonacci number is } 3 & \leftarrow \\
\text{etc.}
\end{align*}
\]

The number of computation steps is a linear function of \( u \).

In this example, bottom-up execution is also less space-consuming than top-down execution. Top-down execution uses space which is proportional to \( u \), whereas bottom-up execution needs to store only two assertions and can use a small constant amount of storage. That only two assertions need to be stored during bottom-up execution is a consequence of the deletion rules for the connection graph proof procedure [25]. As Bibel observes, the greater efficiency of bottom-up execution disappears if similar procedure calls are executed top-down only once. This strategy is, in fact, an application of Warren's generalization of the Earley parsing algorithm.

Strategies for Investigating Alternative Procedures

When more than one procedure has a conclusion which matches a given procedure call, the logic component does not determine the manner in which the alternative procedures are investigated. Sequential exploration of alternatives gives rise to iterative algorithms.

Although in theory all iterations can be replaced by top-down execution of recursive definitions, in practice some iterations might better be thought of as bottom-up execution of recursive definitions (as in the definition of factorial). Other iterations can better be regarded as controlling a sequential search among alternatives.

Assume, for example, that we are given data about individuals in the parenthood relationship:
Suppose that the problem is to find a grandchild of Zeus

\[ \text{Grandparent (Zeus, u)} \]

using the definition of grandparent. In a conventional programming language, the parenthood relationship might be stored in a two-dimensional array. A general procedure for finding grandchildren (given grandparents) might involve two iterative loops, one nested inside the other, with a jump out when a solution has been found. Similar behavior is obtained by interpreting the grandparent procedure top-down, executing procedure calls one at a time, in the sequence in which they are written, trying alternative procedures (assertions in this case) one at a time in the order in which they are written. The logical analysis of the conventional iterative algorithm does not concern recursion but involves sequential search through a space of alternatives. The sequential search strategy is identical to the backtracking strategy for executing nondeterministic programs [18].

Representation of data by means of clauses, as in the family relationships example, rather than by means of terms, is similar to the relational model of databases [10]. In both cases data is regarded as relationships among individuals. When data is represented by conventional data structures (terms), the programmer needs to specify in the logic component of programs and queries both how data is stored and how it is retrieved. When data is represented relationally (by clauses), the programmer needs only to specify data in the logic component; the control component manages both storage and retrieval.

The desirability of separating logic and control is now generally accepted in the field of databases. An important advantage is that storage and retrieval schemes can be changed and improved in the control component without affecting the user's view of the data as defined by the logic component.

The suitability of a search strategy for retrieving data depends upon the structure in which the data is stored. Iteration, regarded as sequential search, is suitable for data stored sequentially in arrays or linked lists. Other search strategies are more appropriate for other data structures, such as hash tables, binary trees, or semantic networks. McSkimin and Minker [29], for example, store clauses in a manner which facilitates both parallel search and finding all answers to a database query. Deliyanni and Kowalski [15], on the other hand, propose a path-finding strategy for retrieving data stored in semantic networks.

Representation of data by means of terms is a common feature of Horn clause programs written in PROLOG [12, 33, 38]. Tärmlund [36], in particular, has investigated the use of terms as data structures in Horn clause programs. Several PROLOG programs employ a relational representation of data. Notable among these are Warren's [37] for plan-formation and those for drug analysis written in the Ministry of Heavy Industry in Budapest [14].

Two Analyses of Path-Finding Algorithms

The same algorithm \( A \) can often be analyzed in different ways:

\[ A = L_1 + C_1 = L_2 + C_2. \]

Some of the behavior determined by the control component \( C_1 \) in one analysis might be determined by the logic component \( L_2 \) in another analysis. This has significance for understanding the relationship between programming style and execution facilities. In the short term sophisticated behavior can be obtained by employing simple execution strategies and by writing complicated programs. In the longer term the same behavior may be obtained from simpler programs by using more sophisticated execution strategies.

The path-finding problem illustrates a situation in which the same algorithm can be analyzed in different ways. Consider the problem of finding a path from \( A \) to \( Z \) in the following directed graph.

![Directed Graph](image)

In one analysis, we employ a predicate \( Go (x) \) which states that it is possible to go to \( x \). The problem of going from \( A \) to \( Z \) is then represented by two clauses. One asserts that it is possible to go to \( A \). The other denies that it is possible to go to \( Z \). The arc directed from \( A \) to \( B \) is represented by a clause which states that it is possible to go to \( B \) if it is possible to go to \( A \):

\[
\begin{align*}
Go (A) & \leftarrow \quad \neg \ Go (Z) \\
Go (B) & \leftarrow \ Go (A) \\
Go (C) & \leftarrow \ Go (A) \\
Go (D) & \leftarrow \ Go (B) \\
Go (E) & \leftarrow \ Go (B) \\
Go (X) & \leftarrow \ Go (E) \\
\end{align*}
\]

Different control strategies determine different path-finding algorithms. Forward search from the initial node \( A \) is bottom-up reasoning from the initial assertion \( Go (A) \leftarrow \). Backward search from the goal node \( Z \) is top-down reasoning from the initial goal statement \( \neg \ Go (Z) \). Bidirectional search from both the initial node and the goal node is the combination of top-down and bottom-up reasoning. Whether the path-finding algorithm investigates one path at a time (depth-first) or develops all paths simultaneously (breadth-first) is a
matter of the search strategy used to investigate alter-

In the second analysis, we employ a predicate $Go^* (x, y)$ which states that it is possible to go from $x$ to $y$. In addition to the assertions which describe the graph and the goal statement which describes the problem, there is a single clause which defines the logic of the path-finding algorithms:

$$\leftarrow Go^* (A, Z)$$
$$Go^* (A, B) \leftarrow Go^* (X, Z)$$
$$Go^* (A, C) \leftarrow Go^* (Y, Z)$$
$$Go^* (B, D) \leftarrow Go^* (U, Y)$$
$$Go^* (B, E) \leftarrow Go^* (V, Y)$$
$$Go^* (E, X) \leftarrow$$ etc.
$$Go^* (x, y) \leftarrow Go^* (x, z), Go^* (z, y)$$

Here both forward search from the initial node $A$ and backward search from the goal node $Z$ are top-down reasoning from the initial goal statement $\leftarrow Go^* (A, Z)$. The difference between forward and backward search is the difference in the choice of subproblems in the body of the path-finding procedure. Solving $Go^* (x, z)$ before $Go^* (z, y)$ is forward search, and solving $Go^* (z, y)$ before $Go^* (x, z)$ is backward search. Corouting between the two subproblems is bidirectional search. Bottom-up reasoning from the assertions which define the graph generates the transitive closure, effectively adding a new arc to the graph directed from node $x$ to node $y$, whenever there is a path from $x$ to $y$.

Many problem domains have in common with path-

finding that an initial state is given and the goal is to achieve some final state. The two representations of the path-finding problem exemplify alternative representations which apply more generally in other problem do-

mains. Warren's plan-formation program [37], for ex-

ample, is of the type which contains both the given and the goal state as different arguments of a single predicate. It runs efficiently with the sequential execution facilities provided by PROLOG. The alternative formulation, which employs a predicate having one state as argument, is easier to understand but more difficult to execute efficiently.

Even the definition of factorial can be represented in two ways. The formulation discussed earlier corresponds to the one-place predicate representation of path-finding. The formulation here corresponds to the two-place pred-

icate representation. Read

$$F (x, y, u, v)$$

as stating that

the factorial of $x$ is $y$
given that the factorial of $u$ is $v$.

$$F (x, y, u, v) \leftarrow u \text{ plus } 1 \text{ is } u', \text{ } u' \text{ times } v \text{ is } v', \text{ } F (x, y, u', v').$$

To find the factorial of an integer represented by a term $t$, a single goal statement incorporates both the goal and the basis of the recursion

$$\leftarrow F (t, y, 0, 1).$$

The new formulation of factorial executed in a simple top-down sequential manner behaves in the same way as the original formulation executed in a mixed top-

down, bottom-up fashion.

A Notation for Expressing Control Information

The distinction between top-down and bottom-up execution can be expressed in a graphical notation which uses arrows to indicate the flow of control. The same notation can be used to represent different combinations of top-down and bottom-up execution. The notation does not, however, aim to provide a complete language for expressing useful control information.

Arrows are attached to atoms in clauses to indicate the direction of transmission of processing activity from clause to clause. For every pair of matching atoms in the initial set of clauses (one atom in the conclusion of a clause and the other among the conditions of a clause), there is an arrow directed from one atom to the other.

For top-down execution, arrows are directed from conditions to conclusions. For the grandparent problem, we have the graph:

![Diagram of the grandparent problem with arrows indicating the flow of control]

Processing activity starts with the initial goal statement. It transmits activity to the body of the grandparent procedure, whose procedure calls, in turn, activate the parenthood definitions. The database of assertions pass-

ively accepts processing activity without transmitting it to other clauses.

For bottom-up execution, arrows are directed from conclusions to conditions:

![Diagram of the grandparent problem with arrows indicating the flow of control]

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Processing activity originates with the database of initial assertions. They transmit activity to the parenthood definition, which, in turn, activate the grandparent definition. Processing terminates when it reaches all the conditions in the passive initial goal statement.

The grandparent definition can be used in a combination of top-down and bottom-up methods. Using numbers to indicate sequencing, we can represent different combinations of top-down and bottom-up execution. For simplicity we only show the control notation associated with the grandparent definition. The combination of logic and control indicated by

\[
\begin{array}{c}
3 \\
\uparrow \\
\text{Grandparent } (x, y) \leftarrow \text{Parent } (x, z), \text{Parent } (z, y)
\end{array}
\]

represents the algorithm which

1. waits until \( x \) is asserted to be parent of \( z \), then
2. finds a child \( y \) of \( z \), and finally
3. asserts that \( x \) is grandparent of \( y \).

The combination indicated by

\[
\begin{array}{c}
2 \\
\downarrow \\
\text{Grandparent } (x, y) \leftarrow \text{Parent } (x, z), \text{Parent } (z, y)
\end{array}
\]

represents the algorithm which

1. waits until \( x \) is asserted to be parent of \( z \), then
2. waits until it is given the problem of showing that \( x \) is grandparent of \( y \),
3. which it then attempts to solve by showing that \( z \) is parent of \( y \).

The algorithm represented by

\[
\begin{array}{c}
1 \\
\downarrow \\
\text{Grandparent } (x, y) \leftarrow \text{Parent } (x, z), \text{Parent } (z, y)
\end{array}
\]

(1) waits until \( x \) is asserted to be parent of \( z \), then
(2) by waiting until \( x \) is asserted to be parent of \( z \), and then
(3) attempting to show that \( z \) is parent of \( y \).

Using the arrow notation, we can be more precise than before about the bottom-up execution of the recursive definition of Fibonacci number. The bottom-up execution referred to previously is, in fact, a mixture of bottom-up and top-down execution:

\[
\begin{array}{c}
4 \\
\uparrow \\
\text{the } u + 2 \text{ Fib is } x \leftarrow \text{the } u + 1 \text{ Fib is } y, \text{ the } u \text{ Fib is } z, y \text{ plus } z \text{ is } x.
\end{array}
\]

Arrow notation can also be used to give a procedural interpretation of non-Horn clauses. The definition of subset, for example, "\( x \) is a subset of \( y \) if, for all \( z \), if \( z \) belongs to \( x \), then \( z \) belongs to \( y \)" gives rise to a procedure which shows that \( x \) is a subset of \( y \) by showing that every member of \( x \) is a member of \( y \). It does this by asserting that some individual belongs to \( x \) and by attempting to show that the same individual belongs to \( y \). The name of the individual must be different from the name of any individual mentioned elsewhere, and it must depend upon \( x \) and \( y \) (being different for different \( x \) and \( y \)). In clausal notation with arrows to indicate control, the definition of subset becomes

\[
\begin{array}{c}
1 \\
\downarrow \\
\text{Grandparent } (x, y) \leftarrow \text{Parent } (x, z), \text{Parent } (z, y)
\end{array}
\]

\[
\begin{array}{c}
2 \\
\uparrow \\
\text{the } u + 2 \text{ Fib is } x \leftarrow \text{the } u + 1 \text{ Fib is } y, \text{ the } u \text{ Fib is } z, y \text{ plus } z \text{ is } x.
\end{array}
\]

\[
\begin{array}{c}
3 \\
\downarrow \\
\text{Given the goal of showing that } x \text{ is a subset of } y, \text{ the first clause asserts that the individual named } arb (x, y) \text{ belongs to } x \leftarrow \text{the } u + 2 \text{ Fib is } x \leftarrow \text{the } u + 1 \text{ Fib is } y, \text{ the } u \text{ Fib is } z, y \text{ plus } z \text{ is } x.
\end{array}
\]

Given the goal of showing that \( x \) is a subset of \( y \), the first clause asserts that the individual named \( arb (x, y) \) belongs to \( x \) and the second clause generates the goal of showing that \( arb (x, y) \) belongs to \( y \).

The grandparent definition illustrates the inadequacy of the arrow notation for expressing certain kinds of control information. Suppose that the grandparent definition is to be used entirely top-down.

\[
\begin{array}{c}
1 \\
\downarrow \\
\text{Grandparent } (x, y) \leftarrow \text{Parent } (x, z), \text{Parent } (z, y).
\end{array}
\]

\[
\begin{array}{c}
2 \\
\uparrow \\
\text{the } u + 2 \text{ Fib is } x \leftarrow \text{the } u + 1 \text{ Fib is } y, \text{ the } u \text{ Fib is } z, y \text{ plus } z \text{ is } x.
\end{array}
\]

The effective sequencing of procedure calls in the body of the procedure depends upon the parameters of the activating procedure call:

1. If the problem is to find a grandchild \( y \) of a given \( x \), then it is more effective (i) first to find a child \( z \) of \( x \); (ii) and then to find a child \( y \) of \( z \).
2. If the problem is to find a grandparent \( x \) of a given \( y \), then it is better (i) first to find a parent \( z \) of \( y \); (ii) and then to find a parent \( x \) of \( z \).

Such sequencing of procedure calls depending on the pattern of input and output cannot be expressed in the arrow notation.

In relational database query languages, input-sensitive sequencing of procedure calls needs to be determined by the data retrieval system rather than by the user. Consider, for example, a database which defines the following relations:

- **Supplier** \((x, y, z)\): supplier number \( x \) has name \( y \) and status \( z \),
- **Part** \((x, y, z)\): part number \( x \) has name \( y \) and unit cost \( z \),
- **Supply** \((x, y, z)\): supplier number \( x \) supplies part number \( y \) in quantity \( z \).

Given the query

What is the name of suppliers of pens?

\[
\begin{array}{c}
\leftarrow \text{Answer } (y)
\end{array}
\]

\[
\begin{array}{c}
\text{Answer } (y) \leftarrow \text{Supplier } (x, y, z), \text{Supply } (x, u, v), \text{Part } (u, v, w)
\end{array}
\]
the system needs to determine that, for the sake of efficiency, the last procedure call should be executed first; whereas given the query

What is the name of parts supplied by Jones?

Answer (y) \rightarrow Supplier (x, Jones, z), Supply (x, u, v), Part (u, y, w)

the first procedure call should be executed before the others.

The arrow notation can be used to control the behavior of a connection graph theorem prover [12]. The links of a connection graph are turned into arrows by giving them a direction. A link may be activated (giving rise to a resolvent) only if the link is connected to a clause all of whose links are outgoing. The links of the derived resolvent inherit the direction of the links from which they descend in the parent clauses. Connection graphs controlled in such a manner are similar to Petri nets [16].

**Conclusion**

We have argued that conventional algorithms can usefully be regarded as consisting of two components:

1. a logic component which specifies what is to be done and
2. a control component which determines how it is to be done.

The efficiency of an algorithm can often be improved by improving the efficiency of the control component without changing the logic and therefore without changing the meaning of the algorithm.

The same algorithm can often be formulated in different ways. One formulation might incorporate a clear statement, in the logic component, of the knowledge to be used in solving the problem and achieve efficiency by employing sophisticated problem-solving strategies in the control component. Another formulation might produce the same behavior by complicating the logic component and employing a simple problem-solving strategy.

Although the trend in databases is towards the separation of logic and control, programming languages today do not distinguish between them. The programmer specifies both logic and control in a single language while the execution mechanism exercises only the most rudimentary problem-solving capabilities. Computer programs will be more often correct, more easily improved, and more readily adapted to new problems when programming languages separate logic and control, and when execution mechanisms provide more powerful problem-solving facilities of the kind provided by intelligent theorem-proving systems.

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**References**


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Professional Activities: Calendar of Events

ACM's calendar policy is to list open computer science meetings that are held on a not-for-profit basis. Not included in the calendar are educational seminars, institutes, and courses. Submittals should be authenticated with name of sponsoring institution, date, and chairperson's name and full address.

1. Telephone number contact for those interested in attending a meeting will be given when a number is specified for this purpose.

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2. All submittals to the Calendar of Events must be received by the 1st of the month preceding the month in which the meeting is scheduled to take place. Submittals will be reduced to the minimum (3) for any publicity to appear in the next month as Previous Listings.

3. A number is specified for this purpose.

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5. This symbol indicates that the Conference and Symposia Committee has given its approval for ACM sponsorship or cooperation. In some cases this is given in its entirety. New Listings are shown first; they will appear next month as Previous Listings.

NEW LISTINGS

6. 8 August 1979

7. Ninth Conference on Electronic Computation, Washington University, St. Louis, Mo. Sponsor: ACM SIGPRO. Contact: C. Wayne Martin, 212 Bankert Hall, University of Nebraska, Lincoln, NE 68588.

8. 7-8 August 1979


10. 7-8 August 1979


12. 8 November 1979


14. 23-26 October 1979


16. 12-14 March 1980


18. 19-21 March 1980


20. 28 March-3 April 1980


22. 2 May 1980


24. 19-22 May 1980

25. NCC '80, Anaheim, Calif. Sponsor: AFIPS. Contact: Jerry Cherrillifer, AFIPS, 210 Summit Ave., Montvale, NJ 07645; 201 391-9810.

26. 3-6 June 1980


28. 16-18 June 1980


30. PREVIOUS LISTINGS

31. 15-20 July 1979


33. 16-18 July 1979


35. 30-2 August 1979


37. 1-5 August 1979


39. Debit, University of Natal, King George V Avenue, Durban, Republic of South Africa.

40. 27-29 July 1979

41. Seminar on Smart Go Theories with European Congress 1979 (with European Go Congress 1979) near Bonn, W. Germany. Contact: Klaus Heine, Kleiststr. 67, 294 Wilhelmsbuellen, W. Germany.

42. 8-10 August 1979

43. 1979 Pattern Recognition and Image Processing Conference, San Diego, Calif. Sponsor: AFIPS. Contact: PRIP79, Box 639, Silver Spring, MD.

44. 6-8 August 1979

45. ACM SIGGRAPH Symposium on Electronic Computation, St. Louis, Mo. Sponsors: ASCE, SHARE, ACM SIGGRAPH. Conf. chm: Thomas DeFanti, McCornick Dept. of Information Engineering, University of Illinois at Chicago Circle, Box 4548, Chicago, IL 60680; 312 996-2315.

46. 10-12 August 1979


48. 11-12 August 1979


50. 13-15 August 1979


52. 16-17 August 1979


54. 16-18 August 1979

55. IFAC Symposium on Computer Applications in Large Scale Systems, Barcaldine, India. Sponsor: International Federation of Automatic Control, Contact: Institution of Engineers, 8 Cookhouse Road, Calcutta-700020, India.

56. 19-22 August 1979

57. 3rd Rocky Mountain Symposium on Microcomputers, Pinegrass Park, Colo. Sponsor: Colorado State University, Contact: Sylvia Kolva, Office of Conferences and Institutes, Colorado State University, Fort Collins, CO 80523; 408-931-6222.

58. 19-24 August 1979


60. 20-22 August 1979

61. Fourth International Conference on Computers and the Humanities, Dartmouth College, Hanover, N.H. Sponsor: ACM SIGCPR. Contact: for the Association for Computers and the Humanities (Calendar continued on p. 439)